

**Format of holding of specified securities**

**Annexure - I**

1. Name of Listed Entity: **GILLANDERS ARBUTHNOT AND COMPANY LIMITED**
2. Symbol/Script Code : **GILLANDERS (NSE), 532716 (BSE), 17321 & 10017321 (CSE)**  
Class of Security : **Equity**
3. Share Holding Pattern Filed under : **Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)**
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: **30/09/2016**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledged or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Category of shareholder (i)	Nos. of shares held (ii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding as a % of total no. of shares (viii) (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		Total as a % of (A+B+C)	No. of underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of Locked in Shares (xii)	As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (xiii)	As a % of total shares held (b)	Number of equity shares held in dematerialized form (xiv)
							Class egx: Class egx	Total								
(A) Promoter & group	13	14671715	0	0	14671715	68.7446	14671715	0	14671715	68.7446	0	0	0.0000	0	0.0000	14671715
(B) Public	15511	6670631	0	0	6670631	31.2554	6670631	0	6670631	31.2554	0	0.0000	NA	0	0.0000	5870755
(C) Non Promoter- Non Public													NA			
(C1) Shares underlying DRS						NA							NA			
(C2) Shares held by Employee, Truists													NA			
Total	15524	21342346	0	0	21342346	100.0000	21342346	0	21342346	100.0000	0	0	0.0000	0	0.0000	20542470



Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2016

(1)	Category & Name of the Shareholders (i)	PAN (ii)	Nos. of shares held (iii)	No. of fully paid up equity shares held (iv)	Party paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % as per SCRR (1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Voting rights	No. of shares outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x)+(A+B+C2)	Number of Locked in shares (xii)	As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (xiii)	As a % of total shares held (b)	Number of dematerialized equity shares held in zed form (xiv)	
									Class x	Class y	Total									
(a)	Individuals/Hindu undivided Family		4	295394	0	0	295394	1.3841	0	0	0	1.3841	0	0.0000	0	0.0000	0	0.0000	295394	
(b)	Indian																			
(c)	Financial Institutions/Banks																			
(d)	Any Other (specify)																			
	Bodies Corporate		9	14376321	0	0	14376321	67.3605	14376321	67.3605	0	67.3605	0	0.0000	0	0.0000	0	0.0000	14376321	
	KOTHARI PHYTOCHEMICALS AND INDUSTRIES LTD.	AABCK1245P	1	5501078	0	0	5501078	25.7754	5501078	25.7754	0	25.7754	0	0.0000	0	0.0000	0	0.0000	5501078	
	KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AACCK1560A	1	2253748	0	0	2253748	10.5600	2253748	10.5600	0	10.5600	0	0.0000	0	0.0000	0	0.0000	2253748	
	VISHNUHARI INVESTMENTS & PROPERTIES LTD	AABCV0010D	1	1707291	0	0	1707291	7.9995	1707291	7.9995	0	7.9995	0	0.0000	0	0.0000	0	0.0000	1707291	
	M.D. KOTHARI & COMPANY LTD.	AADCM6835F	1	1521868	0	0	1521868	7.1307	1521868	7.1307	0	7.1307	0	0.0000	0	0.0000	0	0.0000	1521868	
	KOTHARI & COMPANY PRIVATE LIMITED	AABCK1246Q	1	1426199	0	0	1426199	6.6825	1426199	6.6825	0	6.6825	0	0.0000	0	0.0000	0	0.0000	1426199	
	COMMERCIAL HOUSE PRIVATE LTD.	AABCC2315G	1	1368212	0	0	1368212	6.4108	1368212	6.4108	0	6.4108	0	0.0000	0	0.0000	0	0.0000	1368212	
	BHAKTAWATSAL INVESTMENTS LIMITED	AABCB0380Q	1	498498	0	0	498498	2.3357	498498	2.3357	0	2.3357	0	0.0000	0	0.0000	0	0.0000	498498	
	SATYAM FINANCIAL SERVICES LTD.	AALCS3758B	1	88177	0	0	88177	0.4132	88177	0.4132	0	0.4132	0	0.0000	0	0.0000	0	0.0000	88177	
	KOTHARI CAPITAL AND SECURITIES PRIVATE LIMITED	AABCK1079M	1	11250	0	0	11250	0.0527	11250	0.0527	0	0.0527	0	0.0000	0	0.0000	0	0.0000	11250	
	Sub Total		13	14671715	0	0	14671715	68.7446	14671715	68.7446	0	68.7446	0	0.0000	0	0.0000	0	0.0000	14671715	
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																			
(a)	Government																			
(b)	Institutions																			
(c)	Foreign Portfolio Investor																			
(d)	Any Other (specify)																			
(e)	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	



Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2016																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Sharehold ing % as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			Total as a % of Total Voting rights (XI)	No. of Underlying shares convertible (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XIII) As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (XIV) As a % of total shares held (b)	Number of equity shares held in demateriali zed form (XV)		
								Class x	Class y	Total								
Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		13	14671715	0	0	14671715	68.7446	14671715	0	14671715	68.7446	0	68.7446	0	0.0000	0	0.0000	14671715



Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016																			
(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold- ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			Total as a % of Total Voting rights	No. of shares underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of shares (a)	As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (XIII)	As a % of total shares held in dematerialized form (XIV)	
									Class x	Class y	Total								
(1)	Institutions Mutual Funds / UTI		1	300	0	0	300	0.0014	300	0	300	0.0014	0	0.0014	0	0.0000	NA	300	
(a)	Venture Capital Funds																		
(b)	Alternate Investment Funds																		
(c)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks		30	68980	0	0	68980	0.3232	68980	0	68980	0.3232	0	0.3232	0	0.0000	NA	3437	
(g)	Insurance Companies		1	1187278	0	0	1187278	5.5630	1187278	0	1187278	5.5630	0	5.5630	0	0.0000	NA	1187278	
(h)	LIFE INSURANCE CORPORATION OF INDIA	AAAACL0592H	1	1187278	0	0	1187278	5.5630	1187278	0	1187278	5.5630	0	5.5630	0	0.0000	NA	1187278	
(i)	Provident Funds / Pension Funds																		
(j)	Any Other (specify)																		
(k)	Sub Total		32	1256558	0	0	1256558	5.8876	1256558	0	1256558	5.8876	0	5.8876	0	0.0000	NA	1191015	
(2)	Central Government / State Government(s) / President of India		1	7086	0	0	7086	0.0332	7086	0	7086	0.0332	0	0.0332	0	0.0000	NA	0	
(3)	Non-Institutions																		
(a)	Individuals-																		
(b)	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		14976	3582407	0	0	3582407	16.7854	3582407	0	3582407	16.7854	0	16.7854	0	0.0000	NA	2974245	
(c)	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		21	833179	0	0	833179	3.9039	833179	0	833179	3.9039	0	3.9039	0	0.0000	NA	786313	
(d)	NBFCs registered with RBI		1	3533	0	0	3533	0.0166	3533	0	3533	0.0166	0	0.0166	0	0.0000	NA	3533	
(e)	Employee Trusts																		
(f)	Overseas Depositories (holding DRs) (balancing figure)																		
(g)	Any Other (specify)																		
(h)	Bodies Corporate		260	767473	0	0	767473	3.5960	767473	0	767473	3.5960	0	3.5960	0	0.0000	NA	728133	



Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016	Category & Name of the Shareholders (i)	PAN (ii)	Nos. of shareholder (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % (viii) As a % of SCRR (1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of shares underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)	As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (xiii)	As a % of total shares held (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	Number of equity shares held in dematerialized form (xiv)
									Class x	Class y	Total									
	Cleaning Member		76	90345	0	0	90345	0.4233	90345	0	90345	0.4233	0	0.0000	NA	NA	NA	NA	90345	
	Non Resident Individual		141	126227	0	0	126227	0.5914	126227	0	126227	0.5914	0	0.0000	NA	NA	NA	NA	93348	
	Trusts		3	3823	0	0	3823	0.0179	3823	0	3823	0.0179	0	0.0000	NA	NA	NA	NA	3823	
	Sub Total		15478	5406987	0	0	5406987	25.3345	5406987	0	5406987	25.3345	0	0.0000	NA	NA	NA	NA	4679740	
	Total Public Shareholding		15511	6670631	0	0	6670631	31.2554	6670631	0	6670631	31.2554	0	0.0000	NA	NA	NA	NA	5870755	



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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2016																
Category & Name of the Shareholders (i)	PAN (ii)	No. of shares held (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957) (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of underlying convertible securities (including Warrants) (x)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of A+B+C2)	Number of Locked in shares (xii)	Number of shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total						
(1) Custodian / DR Holder																
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0

Note  
 1) PAN would not be displayed on website of Stock Exchanges(s).  
 2) The above format needs to disclose name of all holders more than 1% of total number of Shares  
 3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

For Gillanders Arbuthnot & Co. Ltd.

*Manoj Kumar*  
 Manoj Kumar  
 Company Secretary